



# Additional Results From a Phase 1b Study of IGV-001 in Patients With Newly Diagnosed Glioblastoma

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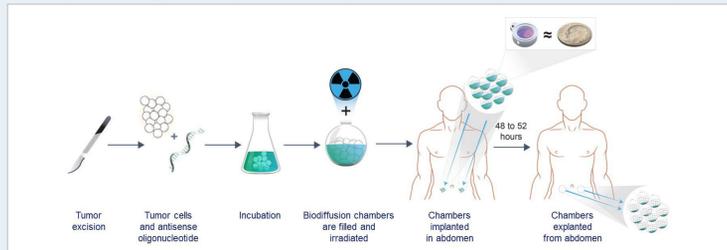
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## INTRODUCTION

- Standard-of-care (SOC) for first-line therapy in patients with newly diagnosed glioblastoma (GBM) is surgery followed by concurrent radiotherapy (RT) and temozolomide (TMZ) followed by adjuvant TMZ alone as maintenance<sup>1</sup>
- With SOC, overall survival (OS) was 14.6 months and progression-free survival (PFS) was 6.9 months in the Stupp trial<sup>1</sup>
- Insulin-like growth factor type 1 receptor (IGF-1R) is overexpressed in malignant cells, including GBM,<sup>2</sup> where it promotes cell growth, cell survival, and tumor progression, and is implicated in the pathophysiology of several human cancers<sup>3-6</sup>
- IGF-1R signaling protects cancer cells from apoptosis induced by RT and anticancer drugs<sup>7-9</sup>
- Downregulation of IGF-1R function provides a selective target for anticancer therapies, and antitumor activity of IGF-1R inhibition has been demonstrated in preclinical studies<sup>3,10-12</sup>
- IGV-001 is the first product developed using Goldspire™, Imvax's proprietary platform (Figure 1)

### Figure 1. The Goldspire™ Platform

The Goldspire manufacturing process is complete in less than 1 day. Biodiffusion chambers are implanted once for 48 hours, then explanted.

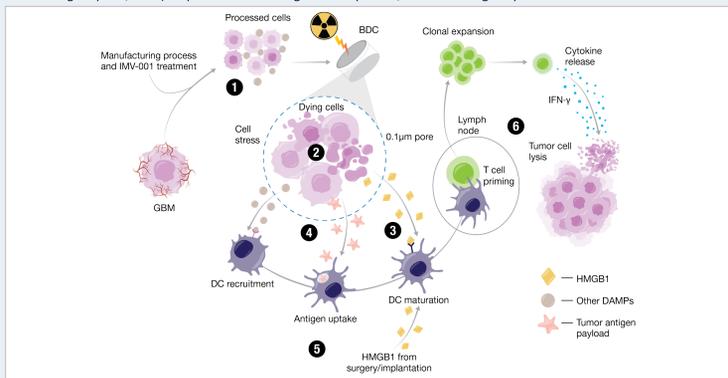


- IGV-001 is a cellular immunotherapy combination drug product consisting of a heterogeneous mixture of autologous cells that have been isolated from resected GBM tumor tissue incubated with IMV-001, a single-stranded 18-mer antisense oligonucleotide corresponding to the 6 codons downstream from the initiating methionine codon of the IGF-1R coding sequence
  - Through its effects on IGF-1R, IMV-001 is believed to enhance antigen release and expected to activate antigen presentation (Figure 2)<sup>13,14</sup>
- Evidence of immune activation has been observed in preclinical experiments<sup>15,16</sup> and correlative clinical studies<sup>13</sup>

- Dendritic cell maturation, CD4+ and CD8+ T-cell activation, and increase in central and effector memory T cells were observed in response to IGV-001 in vitro<sup>13,15,16</sup>
- IGV-001 contributes to the induction of tumor immunity through multiple mechanisms, including the enhancement of antigen production by autologous tumor cells, inhibition of anti-inflammatory mechanisms, and the stimulation of antigen presentation in the patient (Figure 2)<sup>17-19</sup>
  - With IGV-001 treatment, cancer cells are stressed by being in biodiffusion chambers (BDC), by undergoing low-level irradiation, and by the presence of IGF-1R antisense, leading to their immunogenic cell death during implantation and subsequent release of their antigens, hence stimulating an antitumor immune response

### Figure 2. The IGV-001 Manufacturing Assembly and 6-Stage Mechanism of Action

Step 1) Tumor cells treated with IMV-001 antisense are placed in biodiffusion chambers (BDC) and irradiated; 2) tumor cells in IGV-001 undergo oxidative and endoplasmic reticulum stress leading to immunogenic cell death (ICD)<sup>17</sup>; 3) ICD results in production of high mobility group box 1 (HMGB1) and damage-associated molecular patterns (DAMP), which are released from stressed/dying cells inside the BDCs and from the surrounding damaged tissue at the abdominal implantation site; 4) simultaneously, ICD results in a tumor antigen payload (<0.1 μm in size) being released from the BDCs; 5) dendritic cells (DC) are recruited by DAMPs adjuvantly and mature upon tumor antigen uptake; and 6) DC-primed T cells undergo clonal expansion, and tumor antigen-specific T cells kill tumor cells.



GBM, glioblastoma; HMGB, high mobility group box; IFN, interferon.

Table 1. Baseline Characteristics

Baseline characteristic	Outcome group		P value
	Poor outcome n (%)	Good outcome n (%)	
<b>Sex</b>			
Male	13 (68.4)	7 (50.0)	0.284
Female	6 (31.6)	7 (50.0)	
<b>Age, years</b>			
<50	1 (5.3)	5 (35.7)	0.025
≥50	18 (94.7)	9 (64.3)	
<b>KPS</b>			
60-80	3 (15.8)	3 (21.4)	0.678
90-100	16 (84.2)	11 (78.6)	
<b>Location</b>			
Frontal	1 (5.6)	4 (28.6)	0.218
Parietal	8 (44.4)	3 (21.4)	
Temporal	5 (27.8)	6 (42.9)	
Occipital	1 (5.6)	0	
Bihemispheric	3 (16.7)	1 (7.1)	
<b>IDH-1</b>			
Wild type	16 (94.1)	13 (92.9)	0.887
Mutant	1 (5.9)	1 (7.1)	
<b>MGMT</b>			
Methylated	5 (27.8)	11 (84.6)	0.002
Unmethylated	13 (72.2)	2 (15.4)	

IDH-1, isocitrate dehydrogenase 1; KPS, Karnofsky Performance Status; MGMT, methylguanine methyltransferase.

- These baseline groups were analyzed by PFS and OS (Table 2). Age was not statistically associated with either OS or PFS, but younger patients had a longer median OS of 37.6 months compared with older patients at 12.8 months ( $P=0.064$ ). Tumor location and IDH-1 had too few patients in categories to meaningful statistical comparisons. Methylation status was prognostic for both OS and PFS

Table 2. Analysis of GBM Prognostic Factors in the ITT Population

Variable	Categories	Median OS (months)	P value	Median PFS (months)	P value
<b>Sex</b>					
Male		14.9	0.436	10.4	0.54
Female		22.6		8.0	
<b>Age, years</b>					
<50		37.6	0.064	17.1	0.781
≥50		12.8		9.8	
<b>KPS</b>					
60-80		8.6	0.41	9.8	0.256
90-100		17.3		10.4	
<b>Location</b>					
Frontal		37.6	0.347	27.9	0.396
Parietal		14.9		11.6	
Temporal		22.6		10.4	
Occipital		11.3		6.1	
Bihemispheric		5.5		7.1	
<b>IDH-1</b>					
Wild type		38.0	0.347	10.4	0.274
Mutant		5.4		5.4	
<b>MGMT</b>					
Methylated		30.9	0.014	38.4	0.001
Unmethylated		10.1		9.3	

IDH-1, isocitrate dehydrogenase 1; GBM, glioblastoma; ITT, intent to treat; KPS, Karnofsky Performance Status; MGMT, methylguanine methyltransferase; OS, overall survival; PFS, progression-free survival.

- When using the estimated OS for historical SOC<sup>13</sup> (median OS of 16.2 months) as a cutoff, the patients in the ITT population (n=33) could be dichotomized into 17 (52%) patients with good outcome and 16 (48%) patients with poor outcome
- In the high-exposure group (n=17), there were 9 (53%) patients with good outcome and 8 (47%) patients with poor outcome
- Among the Stupp-eligible patients across all cohorts (n=22), 14 (64%) patients had good outcome and 8 (36%) patients had poor outcome

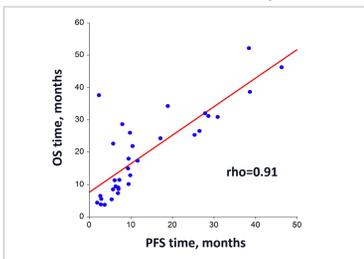
### Correlation between PFS and OS

- We observed a significant correlation between PFS and OS in the ITT population. A scatter plot of OS versus PFS showing the relationship between these 2 variables is seen in Figure 5. The modified Spearman's rank correlation coefficient was 0.91, indicating that TTP is directly related to OS

### Neutrophil-to-lymphocyte ratio

- The NLR emerged as a potential marker of good outcome that will be explored further in the ongoing phase 2b study (NCT04485949)
- Complete blood counts (if available) were taken before craniotomy, after completion of adjuvant chemotherapy and radiation, and after completion of maintenance chemotherapy, and analyzed to determine the NLR
- The average NLR decreased significantly from before craniotomy to study week 6 (before adjuvant radiotherapy). The analysis of variance indicated that the postoperative changes in NLR were statistically significant at 0.001 (Table 3)

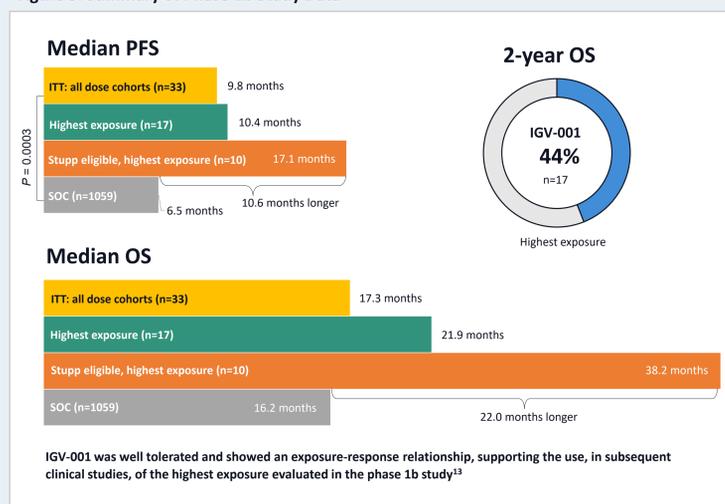
### Figure 5. Statistically Significant Correlation Between PFS and OS in the ITT Population



ITT, intent to treat; OS, overall survival; PFS, progression-free survival.

- In a phase 1b study (NCT02507583),<sup>13</sup> median PFS and OS compared favorably with SOC arms of published studies (Figure 3)<sup>20-22</sup>

Figure 3. Summary of Phase 1b Study Data



ITT, intent to treat; OS, overall survival; PFS, progression-free survival; SOC, standard of care.

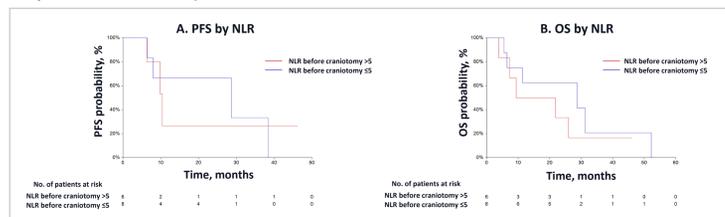
- Here, we report additional data from the phase 1b study<sup>13</sup>

Table 3. NLR Summary Statistics by Study Period

Assessment	Count	Mean	Standard deviation	Statistics		
				Median	Minimum	Maximum
Pre-radiation	27	12.43	6.89	12.10	2.54	29.39
Pre-radiation	28	5.95	5.34	3.73	1.17	22.38
Post-radiation	28	7.16	5.91	6.90	0.06	28.16

- Although the data set is limited, trends towards improved PFS and OS in the setting of a lower NLR are apparent (Figure 6). Before radiotherapy, patients with an NLR ≤5 had a median OS of 17.3 months. When the OS analysis in patients with an NLR ≤5 was restricted to the high-exposure cohort (20 BDCs implanted for 48 hours), the median OS was 28.7 months. Patients with an NLR >5 had a median OS of 10.1 months in all patients and 9.4 months in the high-exposure cohort

Figure 6. Median PFS (A) and Median OS (B) by NLR in High-Exposure Cohort (20 BDCs Implanted for 48 Hours)<sup>9</sup>



\*Of the 17 patients included in the high-exposure cohort, 14 had NLR data before radiotherapy. BDC, biodiffusion chamber; NLR, neutrophil-to-lymphocyte ratio; OS, overall survival; PFS, progression-free survival.

### Safety of reimplantation upon progression

- The safety of the BDC implantation and explantation procedures is further supported by data from 4 patients that were reimplanted upon progression in this phase 1b study
- Three of these 4 patients who received retreatment with IGV-001 upon progression had had unmethylated tumors as follows:
  - Two patients received retreatment with 10 BDCs for 24 hours and 2 patients received retreatment with 20 BDCs for 48 hours
  - All retreated patients received the same treatment to which they were originally randomized, except for 1 patient who was randomized to 10 BDCs for 24 hours, but received retreatment with 20 BDCs for 48 hours
- Re-resection upon progression and retreatment with IGV-001 was safe and well tolerated in these 4 patients. There were no IGV-001-related AEs in these patients

## CONCLUSIONS

- Overall, these data support the ongoing phase 2b randomized study designed to assess the efficacy and safety of IGV-001 in patients with newly diagnosed GBM (NCT04485949)<sup>25</sup>
- The IGV-001 GBM phase 1b clinical trial, as previously reported, showed a statistically significant improvement in PFS compared with historical controls with a low AE profile<sup>13</sup>
- Our analysis showed a high degree of correlation between median PFS and median OS ( $\rho=0.91$ ) in the ITT population. These results suggest that treatments after progression had a limited impact on survival and support the use of median PFS as an end point in future clinical trials in patients with newly diagnosed GBM
- The safety profile of IGV-001 was further demonstrated by the 4 patients enrolled in this study who were retreated with IGV-001 upon progression. None of these 4 patients developed IGV-001-related AEs
- We observed a significant decrease ( $P<0.001$ ) in NLR from before craniotomy to day 42, and this decrease was carried forward through combined therapy. The NLR emerged as a potential marker of good outcomes that will be explored further in the ongoing phase 2b study (NCT04485949)<sup>25</sup>
- These data provide additional support for the ongoing phase 2b randomized, multicenter, double-blind, placebo-controlled study to assess the safety and efficacy of IGV-001 in patients with newly diagnosed GBM (NCT04485949)<sup>25</sup>

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